

FUND REGULATORY INFORMATION

Empowering Asset Managers through
a unique master data base, AI and fund
lifecycle management

INTRODUCTION

A Rapidly Evolving Financial Landscape

In an increasingly complex financial ecosystem, Asset Managers are facing mounting challenges that demand greater efficiency, accuracy, and compliance. Regulatory requirements continue to evolve, data is fragmented across multiple sources, and operational inefficiencies hinder decision-making. Traditional fund management processes, often reliant on manual workflows, are no longer sufficient to meet the growing demands of the Industry.

The Key Challenges for Asset Managers Today

The fund management industry faces three critical pain points that impact efficiency, compliance, and strategic growth:

Data Inconsistencies - Fragmented and Unreliable Information



Asset Managers rely on multiple data sources including internal and external data providers. This leads to duplicated, conflicting, and unverified data, creating risks in decision-making and compliance.

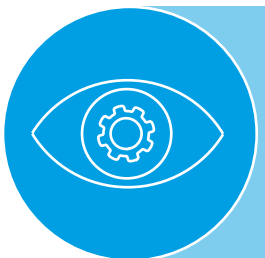
Without a single source of truth, maintaining data consistency across all operations becomes a major challenge.

Operational Inefficiencies - Time-Consuming and Costly Manual Processes



Fund management involves complex workflows, from fund structuring and regulatory to investor communications. Many of these processes are still heavily manual, leading to inefficiencies, delays, and increased operational costs. Asset Managers must find ways to automate repetitive tasks, improve cross-department collaboration, and streamline workflows.

Visibility & Control - Limited Oversight Across the Fund Lifecycle



Without a centralized system, tracking the fund lifecycle (from creation to distribution) becomes cumbersome. Teams operate in silos, making it difficult to ensure that deadlines are met, regulatory requirements are fulfilled, and the right stakeholders receive accurate information. A lack of transparency and structured processes can lead to **compliance risks** and missed opportunities.

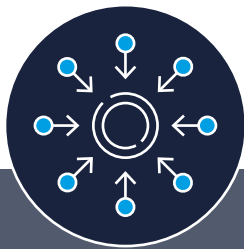
Fund Regulatory Information addresses these challenges by providing an end-to-end, Alpowered platform that centralizes data, automates key processes, and ensures full fund lifecycle.

A COMPREHENSIVE & INTELLIGENT SOLUTION

A Unified and AI-Driven Platform

To address the fundamental challenges in fund management, FRI offers a centralized, intelligent, and automated solution. By leveraging AI-driven data validation, automated workflows, and structured lifecycle tracking, FRI transforms the way asset managers handle their operations.

Fund Regulatory Information is built around two core pillars, each playing a critical role in transforming fund management.



Fund referential - Ensuring data consistency and accuracy

The foundation of any successful fund management strategy is accurate and consistent data. Fund Referential acts as the **single source of truth**, consolidating, verifying, and structuring fund-related information from multiple sources.



Fund Lifecycle Management - Structuring and automating every stage of a fund's lifecycle

While clean data is essential, efficient workflows are just as critical. Fund Lifecycle Management (FLM) streamlines **the entire lifecycle of a fund**, from creation to information dissemination, by automating key processes and improving collaboration across teams.

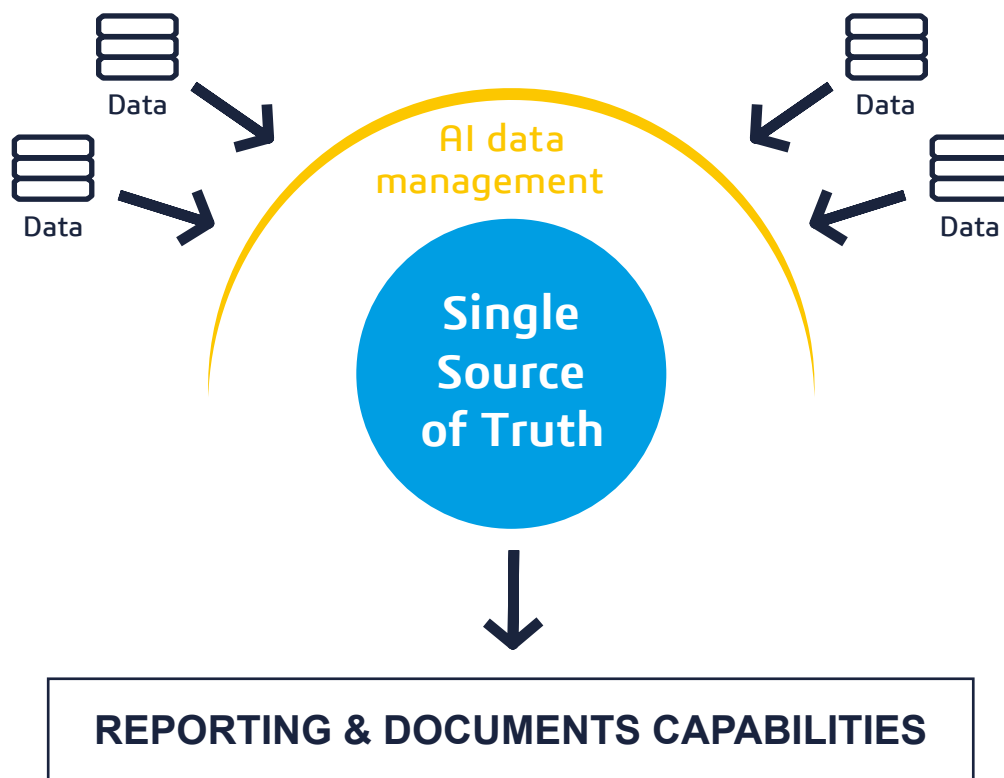


End-to-end visibility across all fund operations, allowing Asset Managers to track, manage, and optimize every stage of the fund lifecycle with confidence and precision.

FUND REFERENTIAL

The Backbone of Reliable Data - a Single Source of Truth

In today's financial landscape, data fragmentation, inconsistencies, and regulatory constraints pose significant challenges for Asset Managers and Asset Servicers. Managing fund-related information from multiple sources manually is error-prone, time-consuming, and risky.



Fund Referential leverages Artificial Intelligence (AI) to centralize, validate, and structure fund data, ensuring a Single Source of Truth (SSOT). Through intelligent automation, it detects inconsistencies, eliminates duplications, and maintains real-time accuracy, enabling Asset Managers to operate with confidence and streamline reporting.

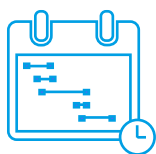
- Consistent and accurate data
- Auditability and transparency
- Fast adaptability to changes
- Efficiency and cost reduction

AI is reshaping the industry, driving automation and intelligence to build the asset manager of the future.

FUND LIFECYCLE MANAGEMENT (FLM)

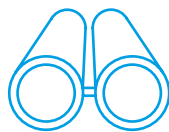
Structuring, Automating, and Optimizing Every Stage of a Fund's Journey

As Asset Management evolves, manual workflows and fragmented processes are no longer sustainable. Fund Regulatory Information transforms Asset Managers and Asset Servicers activities by automating workflows, ensuring compliance, and providing full visibility across every stage of a fund's journey. From creation to distribution and information dissemination, FLM enables Asset Managers to work more efficiently, reducing risks and increasing transparency.



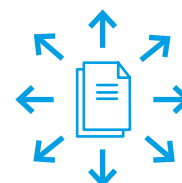
Workforce organisation

Effortlessly manage teams with automated task assignments, timelines, and intelligent workflow tracking to identify bottlenecks and boost efficiency.



Global vision

Gain complete oversight of fund activities with real-time tracking, structured progress monitoring, and full historical data access for auditability.



Distribution

Ensure the right documents reach investors, depositary banks, auditors, and regulators in time, through automated and secure distribution channels.



Role Setup and Activity Organization

Asset Managers configure roles, assign tasks, set alerts and deadlines, and track project progress. With tailored views for different profiles, managers can monitor overall status and quickly address issues.

Report Capabilities

Using data from the single source of truth, Asset Managers and Asset Servicers benefit from AI to generate accurate, regulatory-compliant documents instantly. FRI centralizes these documents, maintaining alignment across all reports. Any data update reflects instantly, guaranteeing accuracy, clarity, and serenity.

Intelligent Versioning & Audit Readiness

Each document version is directly connected to its corresponding data set, maintaining consistency across updates. Auditors can easily retrieve specific versions within the platform or export data as needed.

-25%

Man day spent to face a new regulation or modification

35%

Faster for a fund creation and modifications

100%

Data and document

FUND LIFECYCLE MANAGEMENT (FLM)

Independent solutions providers

The Asset Management industry is evolving at an unprecedented pace. Data is multiplying, regulatory requirements are tightening, and operational complexity is increasing.

OUTSCALE - Dassault Systèmes is proud to offer independent solutions to the market. Dassault Systèmes is not affiliated with any investment fund or bank, ensuring our commitment to providing neutral and unbiased services to users.

Our team is made up of passionate experts, committed to continuous improvement and innovation. We listen to our clients, refine our technology, and push the boundaries of what fund management can achieve. We don't just adapt to change, we drive it forward.



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